

As a requirement of both ISO 14001 and ISO 45001, companies have to carry out an audit of their compliance to applicable legislation.

SSS can help in 2 ways:

- Providing the INTACT computerised action management system which has a structured list of legislation, questions that may be asked for verification and a system for recording compliance answers. The customer normally does this, or.
- Using the above system to do this for the customer

## Benefits

As a result of the INTACT legal compliance audit system, a company will

- Have a simple method of carrying out such an audit themselves, or
- Be able to have these done independently at a low cost, and
- Have a professional output which includes any actions arising

### Data input

The input form comprises a list of legislation with tick boxes for “Applicable” and “Yes” or “No” for compliance. Double-clicking the regulation will tell you more about it.

If a regulation is applicable, you tick the “Applicable” box and then define how you show that you are compliant. By clicking on the “Full comments” button, you open the form shown below which has a set of evidence that may be applicable.

You enter how you meet the requirements of the regulation in the “How met” section

Regulation	Applicable	Yes	No	Comment	
Is the company complying with the requirements of the Control of Asbestos Regulations 2012?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Full comments
Is the company complying with the requirements of the Control of Substances Hazardous to Health Regulations 2002 (COSHH)?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		Full comments
Is the company aware of the Corporate Manslaughter and Corporate Homicide Act?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	The company is aware of this.	Full comments
Is the company complying with the requirements of the Work at Height Regulations 2005?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		Full comments
Is the company complying with the requirements of the Workplace Health, Safety and Welfare Regulations 1992?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		Full comments
Is the company complying with the requirements of the Health and Safety (First Aid) Regulations 1981?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		Full comments
Is the company complying with the requirements of the Lifting Operations and Lifting Equipment Regulations 1998?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		Full comments
Is the company complying with the requirements of the Dangerous Substances and Explosive Atmosphere Regulations 2002 (DSEAR)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	There are no such substances	Full comments
Is the company complying with the requirements of the PPE at Work Regulations 1992?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		Full comments
Is the company complying with the requirements of the H&S (Display Screen) Regulations 1992?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		Full comments
Is the company complying with the requirements of the Manual Handling Regulations 1992?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		Full comments
Is the company complying with the requirements of the Fire Safety (Employees) Regulations 2010?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		Full comments
Is the company aware of and complying with the requirements of REACH? (Registration, Evaluation, Authorisation and Restriction of Chemicals)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	There are no such substances	Full comments

Regulation	Is the company complying with the requirements of the Control of Substances Hazardous to Health Regulations 2002 (COSHH)?
How met	<p>CoSHH assessments have been done. The use of substances hazardous to health is very limited and comprises stencilling ink, floor paints, etc.</p> <p>There are no substances which require health surveillance.</p> <p>Fumes from hot processes are extracted by an LEV system which is checked annually. There is no evidence that such fumes are hazardous, but it is at least a nuisance issue.</p>
Confirmation evidence	
CoSHH assessments done and sensible?	
Control measures defined and in place?	
People exposed to chemicals informed?	

## Output

The output comprises a report as shown here.

Note that lists all legislation, even if it is not applicable to demonstrate that you have considered it.

Regulation	Applicability	Compliant?	Comment
Check Date: 09 November 2012	Checked by: P G Chambers BSc, CMIOSH	Site(s) <del>Spence</del>	
<b>Is the company complying with the requirements of the Work at Height Regulations 2005?</b>	Applicable	Yes	
<i>How met</i>	As training for working at height is a core part of the <del>Spence</del> business, this is an integral part of the operations. Work at height is on purpose designed structures in a dedicated area, with the structures designed to mimic situations in which trainees would find themselves, eg electricity pylon, mobile phone mast. People working at height are the trainers and the trainees. Equipment is inspected as part of the training programme, which also covers rescue from height. There are no fragile surfaces through which people could fall. The potential for falling objects is controlled by the mandatory wearing of hard hats when in the training compound. <del>Spence</del> are assessed to BS 845 as part of their ISO 9001 audits.		
<b>Is the company aware of and complying with the requirements of REACH? (Registration, Evaluation, Authorisation and Restriction of Chemicals)</b>	Not applicable		There are no such substances
<b>Is the company complying with the requirements of the Fire Safety (Employees) Regulations 2010?</b>	Applicable	Yes	
<i>How met</i>	This is a low risk operation. Fire wardens have been trained by using DVDs.		
<b>Is the company complying with the requirements of the Manual Handling Regulations 1992?</b>	Applicable	Yes	
<i>How met</i>	Manual handling assessments have been carried out. The format could be changed and the HSE's MAC system is worth considering. Manual handling is carried out but is not a requirement where this is done at high frequency. Typical operations are lifting boxes of fittings or ratchets, or carrying rolls of webbing. Carrying operations are minimised by the provision of trolleys and pump-up trucks.  Whilst not part of these regulations, it would be worth considering assessment of operations which could lead to work related upper limb disorders (WRULDs). The HSE has recently		

## Annual updates

The standards require you to periodically check that you remain compliant. You would normally do this annually. The audit scheduling system with INTACT sets up dates automatically and sends reminders.

The INTACT legal compliance system is structured so that when you enter the next year's date the legislation is copied with the "Applicable" boxes ticked or not ticked as at the last audit. The report continues from the last report and there is a button that enables you to state that nothing has changed.

## How do we go about getting this up and running?

Legal compliance auditing is only a small part of the benefits that can be gained by using the INTACT action management system.

Contact Strategic Safety Systems to discuss a demonstration of INTACT or arranging for us carry out legal compliance audits for you.



Strategic Safety Systems Ltd., 8 The Highgrove, Bishops Cleeve, Cheltenham, GL52 8JA, UK  
Phone: 01242 679713 Mobile 07768 011667  
E-Mail: [info@StrategicSafety.co.uk](mailto:info@StrategicSafety.co.uk) Web site: [www.StrategicSafety.co.uk](http://www.StrategicSafety.co.uk)